# 12. Гомотопійне відтягування. Навколо похідних категорій

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## Власні справа модельні категорії

The model category  $\mathcal{M}$  will be called *right proper* if every pullback of a weak equivalence along a fibration (see Definition 7.2.10) is a weak equivalence.

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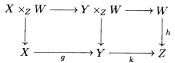
Every pullback of a weak equivalence between fibrant objects along a fibration (see Definition 7.2.10) is a weak equivalence.

13.3.1. Homotopy pullbacks. If  $\mathcal{M}$  is a right proper model category (see Definition 13.1.1), then the homotopy pullback of the diagram  $X \stackrel{g}{\to} Z \stackrel{h}{\leftarrow} Y$  is constructed by replacing g and h by fibrations and then taking a pullback (see Definition 13.3.2). In order to have a well defined functor, we need to choose a fixed functor to convert our maps into fibrations. We will show, however, that any other factorization into a weak equivalence followed by a fibration yields an object naturally weakly equivalent to the homotopy pullback and that, in fact, only one of the maps must be converted to a fibration (see Proposition 13.3.7). Thus, if either of the maps is already a fibration, then the pullback is naturally weakly equivalent to the homotopy pullback (see Corollary 13.3.8).

DEFINITION 13.3.2. Let  $\mathcal{M}$  be a right proper model category and let E be an arbitrary but fixed functorial factorization of every map  $g\colon X\to Y$  into  $X\stackrel{i_g}{\to} E(g)\stackrel{p_g}{\to} Y$ , where  $i_g$  is a trivial cofibration and  $p_g$  is a fibration. The homotopy pullback of the diagram  $X\stackrel{g}{\to} Z\stackrel{h}{\leftarrow} Y$  is defined to be the pullback of the diagram  $E(g)\stackrel{p_g}{\to} Z\stackrel{h}{\leftarrow} E(h)$ .

LEMMA 13.3.3. Let M be a right proper model category. If  $g: X \to Y$  is a weak equivalence and  $h: W \to Z$  is a fibration, then, for any map  $k: Y \to Z$ , the natural map from the pullback of the diagram  $X \xrightarrow{kg} Z \xrightarrow{h} W$  to the pullback of the diagram  $Y \xrightarrow{k} Z \xrightarrow{h} W$  is a weak equivalence.

PROOF. We have the commutative diagram



in which the vertical maps are all fibrations. Since g is a weak equivalence, the result follows from Proposition 7.2.14.

# Гомотопійна інваріантність гомотопійного

#### відтягування

Proposition 13.3.4 (Homotopy invariance of the homotopy pullback). Let  $\mathcal M$  be a right proper model category. If we have the diagram

$$X \xrightarrow{g} Z \xleftarrow{h} Y$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\widetilde{X} \xrightarrow{\widetilde{g}} \widetilde{Z} \xleftarrow{\widetilde{h}} \widetilde{Y}$$

in which the vertical maps are weak equivalences, then the induced map of homotopy pullbacks

$$E(g) \times_Z E(h) \to E(\tilde{g}) \times_{\tilde{Z}} E(\tilde{h})$$

is a weak equivalence.

Proof. It is sufficient to show that if  $g, h, \tilde{g}$ , and  $\tilde{h}$  are fibrations, then the map of pullbacks  $X \times_Z Y \to \widetilde{X} \times_{\widetilde{Z}} \widetilde{Y}$  is a weak equivalence. This map equals the composition

$$X\times_Z Y \to (\widetilde{X}\times_{\widetilde{Z}} Z)\times_Z Y \approx \widetilde{X}\times_{\widetilde{Z}} Y \to \widetilde{X}\times_{\widehat{Z}} \widetilde{Y}.$$

Since  $\mathcal{M}$  is a right proper model category, the map  $X \to \tilde{X} \times_{\tilde{Z}} Z$  is a weak equivalence, and Lemma 13.3.3 implies that the last map in the composition is a weak equivalence.

## Три формули для гомотопійного відтягування

PROPOSITION 13.3.7. Let  $\mathcal{M}$  be a right proper model category. If  $X \xrightarrow{j_g} W_g \xrightarrow{q_g} Z$  and  $Y \xrightarrow{j_h} W_h \xrightarrow{q_h} Z$  are factorizations of, respectively,  $g \colon X \to Z$  and  $h \colon Y \to Z$ ,  $j_g$  and  $j_h$  are weak equivalences, and  $q_g$  and  $q_h$  are fibrations, then the homotopy pullback of the diagram  $X \xrightarrow{g} Z \xrightarrow{h} Y$  is naturally weakly equivalent to each of  $W_g \times_Z W_h$ ,  $W_g \times_Z Y$ , and  $X \times_Z W_h$ .

PROOF. If E is the natural factorization used in Definition 13.3.2, then Lemma 13.3.3 implies that the homotopy pullback  $E(g) \times_Z E(h)$  is naturally weakly equivalent to both  $E(g) \times_Z Y$  and  $X \times_Z E(h)$ . Lemma 13.3.3 implies that these are naturally weakly equivalent to  $E(g) \times_Z W_h$  and  $W_g \times_Z E(h)$  respectively, and that these are naturally weakly equivalent to  $X \times_Z W_h$  and  $W_g \times_Z Y$ , respectively. Lemma 13.3.3 implies that both of these are naturally weakly equivalent to  $W_g \times_Z W_h$ .

COROLLARY 13.3.8. Let  $\mathcal{M}$  be a right proper model category. If at least one of the maps  $g\colon X\to Z$  and  $h\colon Y\to Z$  is a fibration, then the pullback  $X\times_Z Y$  is naturally weakly equivalent to the homotopy pullback of the diagram  $X\xrightarrow{g} Z\xleftarrow{h} Y$ .

PROPOSITION 13.3.9. Let M be a right proper model category. If the vertical maps in the diagram

$$\begin{array}{ccc}
X \longrightarrow Z \longleftarrow Y \\
\downarrow & \downarrow & \downarrow \\
\tilde{X} \longrightarrow \tilde{Z} \longleftarrow \tilde{Y}
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are weak equivalences and at least one map in each row is a fibration, then the map of pullbacks  $X \times_Z Y \to \widetilde{X} \times_{\widetilde{Z}} \widetilde{Y}$  is a weak equivalence.

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are weak equivalences and at least one map in each row is a fibration, then the map of pullbacks  $X \times_Z Y \to \widetilde{X} \times_{\widetilde{Z}} \widetilde{Y}$  is a weak equivalence.

Let us recall that the pullback of a diagram

$$\mathcal{C}_1 \xrightarrow{\mathrm{F}_1} \mathcal{D} \xleftarrow{\mathrm{F}_2} \mathcal{C}_2$$

in  $\operatorname{\mathsf{dgCat}}$  is given by a dg category  $\mathcal{C}_1 \times_{\mathcal{D}} \mathcal{C}_2$  defined in the obvious way. This notion of pullback does not behave well with respect to quasi-equivalences.

To overcome this issue, one has to note that, by the work of Tabuada, dgCat has a model category structure whose weak equivalences are the quasi-equivalences.

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Here we content ourselves with some remarks about the special case of dgCat. In particular, in Tabuada's model structure all dg categories are fibrant but not all of them are cofibrant.

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Furthermore, such a model structure is right proper, i.e. every pullback of a weak equivalence along a fibration is a weak equivalence, thanks to the fact that all objects are fibrant.

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Here we content ourselves with some remarks about the special case of  $\mathsf{dg}\mathcal{C}\mathsf{at}$ . In particular, in Tabuada's model structure all dg categories are fibrant but not all of them are cofibrant. Furthermore, such a model structure is right proper, i.e. every pullback of a weak equivalence along a fibration is a weak equivalence, thanks to the fact that all objects are fibrant. Finally,  $\mathsf{Hqe}$  can be reinterpreted as the homotopy category of  $\mathsf{dg}\mathcal{C}\mathsf{at}$  with respect to such a model structure.

## Гомотопійне відтягування dg категорій

One can consider the homotopy pullback  $C_1 \times_{\mathcal{D}}^h C_2$ . By definition  $C_1 \times_{\mathcal{D}}^h C_2 := C_1' \times_{\mathcal{D}} C_2'$  is the usual pullback of a diagram

$$C_1' \xrightarrow{F_1'} \mathcal{D} \xleftarrow{F_2'} C_2', \tag{1}$$

where at least one among  $F'_1$  and  $F'_2$  is a fibration and (for i = 1, 2)  $F_i = F'_i \circ I_i$  with  $I_i : \mathcal{C}_i \to \mathcal{C}'_i$  a quasi-equivalence.

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Let us spell out an explicit description of  $\mathcal{C}_1 \times_{\mathcal{D}}^h \mathcal{C}_2$ . We can take  $F_2' = F_2$  and factor only  $F_1$  as follows. Define  $\mathcal{C}_1'$  to be the dg category whose objects are triples,  $(C_1, D, f)$  where  $C_1 \in \mathsf{Ob}(\mathcal{C}_1)$ ,  $D \in \mathsf{Ob}(\mathcal{D})$  and  $f : F_1(C_1) \to D$  is a strong homotopy equivalence.

## Definition (Kontsevich's category again)

Objects D and D' of a dg-category  $\mathcal{D}$  are strongly homotopy equivalent =strongly homotopy isomorphic if there are morphisms of  $\mathcal{D}$ 

$$D \xrightarrow{f} D'$$

$$\alpha \underset{-1}{\circlearrowright} D \xleftarrow{g} D' \underset{-1}{\circlearrowleft} \beta$$

$$D \xrightarrow{\delta} D'$$

such that

$$\begin{split} g \circ f &= 1_D - d\alpha, \\ f \circ g &= 1_{D'} - d\beta, \\ f \circ \alpha - \beta \circ f &= d\delta. \end{split}$$

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such that

$$g \circ f = 1_D - d\alpha,$$
  
$$f \circ g = 1_{D'} - d\beta,$$
  
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Morphisms f and g are called strong homotopy equivalences = strong homotopy isomorphisms, homotopy inverse to each other.

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A morphism of degree n from  $(C_1, D, f)$  to  $(C'_1, D', f')$  in  $C'_1$  is given by a triple  $(a_1, b, h)$  with  $a_1 \in \mathsf{Hom}_{\mathcal{C}_1}(C_1, C'_1)^n$ ,  $b \in \mathsf{Hom}_{\mathcal{D}}(D, D')^n$  and  $h \in \mathsf{Hom}_{\mathcal{D}}(F_1(C_1), D')^{n-1}$ .

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The differential is defined by

$$d(a_1,b,h) := (d(a_1),d(b),d(h) + (-1)^n(f' \circ F_1(a_1) - b \circ f))$$

and the composition by

$$(a'_1, b', h') \circ (a_1, b, h) := (a'_1 \circ a_1, b' \circ b, b' \circ h + (-1)^n h' \circ F_1(a_1)).$$

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$$(a_1',b',h')\circ (a_1,b,h):=(a_1'\circ a_1,b'\circ b,b'\circ h+(-1)^nh'\circ F_1(a_1)).$$

The dg functor  $I_1:\mathcal{C}_1\to\mathcal{C}_1'$  is defined by  $I_1(C_1):=(C_1,F_1(C_1),\mathsf{id}_{F_1(C_1)})$  on objects and  $I_1(a_1):=(a_1,F_1(a_1),0)$  on morphisms. On the other hand, the dg functor  $F_1':\mathcal{C}_1'\to\mathcal{D}$  is defined as projection on the second component both on objects and on morphisms. It is not difficult to check that  $I_1$  is a quasi-equivalence and  $F_1'$  is a fibration.

## Гомотопійна оборотність на морфізмах

Identity morphism of  $(C_1, D, f) \in \mathsf{Ob}\,\mathcal{C}'_1$  is  $(1_{C_1}, 1_D, 0)$ .

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 ${\rm I}_1$  is a homotopy invertible on morphisms:

$$\begin{split} &I_1:\mathcal{C}_1(X,Y)\to\mathcal{C}_1'((X,F_1X,1_{F_1X}),(Y,F_1Y,1_{F_1Y}))\\ &=\mathcal{C}_1(X,Y)\oplus\mathcal{D}(F_1X,F_1Y)\oplus\mathcal{D}(F_1X,F_1Y)[-1],\;a\mapsto(a,F_1a,0) \end{split}$$

has a homotopy inverse  $pr_1$ .

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In fact,  $\mathsf{pr}_1(I_1a) = a$ , and  $I_1(\mathsf{pr}_1(a,b,h)) = (a,F_1a,0)$ ,  $(\mathsf{id}-I_1\circ\mathsf{pr}_1)(a,b,h) = (0,b-F_1a,h)$  coincides with  $(\mathsf{dz}+\mathsf{zd})(a,b,h)$ , the homotopy is

$$\begin{split} z: \mathcal{C}_1(X,Y)^n \oplus \mathcal{D}(F_1X,F_1Y)^n \oplus \mathcal{D}(F_1X,F_1Y)^{n-1} \\ &\to \mathcal{C}_1(X,Y)^{n-1} \oplus \mathcal{D}(F_1X,F_1Y)^{n-1} \oplus \mathcal{D}(F_1X,F_1Y)^{n-2}, \\ & (a,b,h) \mapsto (0,(-1)^nh,0). \end{split}$$

# Деякі сильні гомотопійні еквівалентності

For any object  $(C, D, f: F_1C \to D)$  of  $\mathcal{C}_1'$  there exists a strong homotopy equivalence  $\tilde{f}: (C, F_1C, 1_{F_1C}) \to (C, D, f) \in \mathcal{C}_1'$ . It is given by  $\tilde{f} = (1_C, f, 0) \in \mathcal{C}_1(C, C)^0 \oplus \mathcal{D}(F_1C, D)^0 \oplus \mathcal{D}(F_1C, D)^{-1}$ , accompanied with  $\tilde{g}: (C, D, f) \to (C, F_1C, 1_{F_1C}) \in \mathcal{C}_1'$ ,  $\tilde{g} = (1_C, g, -\alpha) \in \mathcal{C}_1(C, C)^0 \oplus \mathcal{D}(D, F_1C)^0 \oplus \mathcal{D}(F_1C, F_1C)^{-1}$ ,  $\tilde{\alpha} = (0, \alpha, 0) \in \mathcal{C}_1(C, C)^{-1} \oplus \mathcal{D}(F_1C, F_1C)^{-1} \oplus \mathcal{D}(F_1C, F_1C)^{-2}$ ,  $\tilde{\beta} = (0, \beta, \delta) \in \mathcal{C}_1(C, C)^{-1} \oplus \mathcal{D}(D, D)^{-1} \oplus \mathcal{D}(F_1C, D)^{-2}$ ,  $\tilde{\delta} = (0, \delta, 0) \in \mathcal{C}_1(C, C)^{-2} \oplus \mathcal{D}(F_1C, D)^{-2} \oplus \mathcal{D}(F_1C, D)^{-3}$ , satisfying

$$\begin{split} \tilde{\mathbf{g}} \circ \tilde{\mathbf{f}} &= 1 - \mathrm{d} \tilde{\alpha}, \\ \tilde{\mathbf{f}} \circ \tilde{\mathbf{g}} &= 1 - \mathrm{d} \tilde{\beta}, \\ \tilde{\mathbf{f}} \circ \tilde{\alpha} - \tilde{\beta} \circ \tilde{\mathbf{f}} &= \mathrm{d} \tilde{\delta}. \end{split}$$

## Гомотопійні еквів-сті в передтриангульованій dg-кат.

### Lemma (likely known)

Let  $\mathcal{D}$  be a pretriangulated dg-category. Let  $f: M \to N \in \mathbb{Z}^0 \mathcal{D}$ . Then f is homotopy invertible iff Conef is contractible iff f is strongly homotopy invertible.

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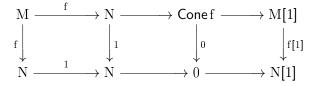
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Доведення. Assume that  $f: M \to N \in Z^0\mathcal{D}$  is homotopy invertible. The category  $H^0\mathcal{D}$  is triangulated. The square

$$\begin{array}{ccc} M & \stackrel{f}{\longrightarrow} N \\ f \downarrow & = & \downarrow 1 \\ N & \stackrel{1}{\longrightarrow} N \end{array}$$

extends to a morphism of distinguished triangles



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by property [TR3] of triangulated category  $H^0\mathcal{D}$ .

 $\sigma^{-1} \in \mathcal{D}(M[1], M)^1$ :  $M[1] \xrightarrow{i} C \xrightarrow{p} M[1]$ ,  $N \xrightarrow{j} C \xrightarrow{s} N$  of degree 0: such that  $p \circ i = 1$ ,  $s \circ j = 1$ ,  $s \circ i = 0$ ,  $p \circ j = 0$ ,  $i \circ p + j \circ s = 1$ , dp = 0, di = 0,  $di = i \circ f \circ \sigma^{-1}$ ,  $ds = -f \circ \sigma^{-1} \circ p$ .

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 $h = i \circ \sigma \circ g \circ s - i \circ \sigma \circ \alpha \circ \sigma^{-1} \circ p + j \circ \beta \circ s + j \circ \delta \circ \sigma^{-1} \circ p.$ 

such that 
$$p \circ i = 1$$
,  $s \circ j = 1$ ,  $s \circ i = 0$ ,  $p \circ j = 0$ ,  $i \circ p + j \circ s = 1$ ,  $dp = 0$ ,  $dj = 0$ ,  $di = j \circ f \circ \sigma^{-1}$ ,  $ds = -f \circ \sigma^{-1} \circ p$ .  
Clearly,  $h$  can be recovered from morphisms  $g = \sigma^{-1} \circ p \circ h \circ j \in \mathcal{D}(N, M)^0$ ,  $\alpha = -\sigma^{-1} \circ p \circ h \circ i \circ \sigma \in \mathcal{D}(M, M)^{-1}$ ,  $\beta = s \circ h \circ j \in \mathcal{D}(N, N)^{-1}$ ,

The morphism  $0: \mathsf{Conef} \to 0$  is invertible in  $H^0\mathcal{D}$ , that is,  $C = \mathsf{Conef}$  is contractible ( $1_C = \mathsf{dh}$  for some  $h \in \mathcal{D}(C, C)^{-1}$ ).

by property [TR3] of triangulated category  $H^0\mathcal{D}$ .

C is accompanied by morphisms  $\sigma \in \mathcal{D}(M, M[1])^{-1}$ ,  $d\sigma = 0$ ,  $\sigma^{-1} \in \mathcal{D}(M[1], M)^1$ ;  $M[1] \xrightarrow{i} C \xrightarrow{p} M[1]$ ,  $N \xrightarrow{j} C \xrightarrow{s} N$  of degree 0; such that  $p \circ i = 1$ ,  $s \circ j = 1$ ,  $s \circ i = 0$ ,  $p \circ j = 0$ ,  $i \circ p + j \circ s = 1$ , dp = 0, dj = 0,  $di = j \circ f \circ \sigma^{-1}$ ,  $ds = -f \circ \sigma^{-1} \circ p$ .

Clearly, h can be recovered from morphisms  $g = \sigma^{-1} \circ p \circ h \circ j \in \mathcal{D}(N, M)^{0},$   $\alpha = -\sigma^{-1} \circ p \circ h \circ i \circ \sigma \in \mathcal{D}(M, M)^{-1}, \beta = s \circ h \circ j \in \mathcal{D}(N, M)^{-1}$ 

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$$\delta = s \circ h \circ i \circ \sigma \in \mathcal{D}(M, N)^{-2} \text{ as}$$

$$h = i \circ \sigma \circ g \circ s - i \circ \sigma \circ \alpha \circ \sigma^{-1} \circ p + j \circ \beta \circ s + j \circ \delta \circ \sigma^{-1} \circ p.$$

The equation  $dh = 1_C$  can be written as the system

$$\begin{split} \mathrm{d} \mathrm{g} &= 0, \\ \mathrm{d} \alpha &= 1_\mathrm{M} - \mathrm{g} \circ \mathrm{f}, \\ \mathrm{d} \beta &= 1_\mathrm{N} - \mathrm{f} \circ \mathrm{g}, \\ \mathrm{d} \delta &= \mathrm{f} \circ \alpha - \beta \circ \mathrm{f}. \end{split}$$

The first equation says that  $g \in Z^0 \mathcal{D}(N, M)$ . The second and the third say that g is homotopy inverse to f.

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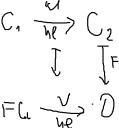
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peut montrer aisément que pour la structure obtenue, tout objet est fibrant et qu'un dg-foncteur F de C vers D est une fibration si et seulement si :

- pour tous objects  $c_1$  et  $c_2$  dans C, le morphisme de complexes de  $\text{Hom}_{C}(c_1, c_2)$  vers  $\text{Hom}_{D}(F(c_1), F(c_2))$  est surjectif en chaque composante et
- pour tout objet  $c_1$  dans  $\mathcal C$  et tout isomorphisme v de  $F(c_1)$  vers d dans  $H^0(\mathcal D)$ , il existe un isomorphisme u de  $c_1$  vers  $c_2$  dans  $H^0(\mathcal C)$  tel que F(u) = v.



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#### Lemma

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#### Доведення.

An isomorphism  $v: D \to D' \in H^0\mathcal{D}$  lifts to a strong homotopy isomorphism  $v: D \to D' \in \mathcal{D}$ . It is lifted to a morphism  $(1_C, v, 0): (C, D, f) \to (C, D', v \circ f) \in \mathcal{C}'_1$  (notice that  $v \circ f$  is a strong homotopy isomorphism) whose second projection is v.

# Явний вираз для гомотопійного відтягування dg категорій

Let  $\mathcal{D}$  be a pretriangulated dg-category. With the above choice,  $\mathcal{C}_1 \times_{\mathcal{D}}^h \mathcal{C}_2$  can be identified with the dg category whose objects are triples  $(C_1, C_2, f)$ , where  $C_i \in \mathsf{Ob}(\mathcal{C}_i)$ , for i = 1, 2, and  $f \colon F_1(C_1) \to F_2(C_2)$  is a strong homotopy equivalence.

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$$d(a_1,a_2,h):=(d(a_1),d(a_2),d(h)+(-1)^n(f'\circ F_1(a_1)-F_2(a_2)\circ f))$$
 and the composition by

$$(a'_1, a'_2, h') \circ (a_1, a_2, h) := (a'_1 \circ a_1, a'_2 \circ a_2, F_2(a'_2) \circ h + (-1)^n h' \circ F_1(a_1)).$$



Philip S. Hirschhorn, Model categories and their localizations, Mathematical Surveys and Monographs, vol. 99, American Mathematical Society, Providence, RI, 2003. Chapter 13: §1.1, 1.2, 3.1–3.3, 3.4, 3.7–3.9

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