

## 12. Гомотопійне відтягування. Навколо похідних категорій

Володимир Любашенко

29 квітня 2021

## Власні справа модельні категорії

The model category  $\mathcal{M}$  will be called *right proper* if every pullback of a weak equivalence along a fibration (see Definition 7.2.10) is a weak equivalence.

*Every pullback of a weak equivalence between fibrant objects along a fibration (see Definition 7.2.10) is a weak equivalence.*

**13.3.1. Homotopy pullbacks.** If  $\mathcal{M}$  is a right proper model category (see Definition 13.1.1), then the homotopy pullback of the diagram  $X \xrightarrow{g} Z \xleftarrow{h} Y$  is constructed by replacing  $g$  and  $h$  by fibrations and then taking a pullback (see Definition 13.3.2). In order to have a well defined functor, we need to choose a fixed functor to convert our maps into fibrations. We will show, however, that any other factorization into a weak equivalence followed by a fibration yields an object naturally weakly equivalent to the homotopy pullback and that, in fact, only one of the maps must be converted to a fibration (see Proposition 13.3.7). Thus, if either of the maps is already a fibration, then the pullback is naturally weakly equivalent to the homotopy pullback (see Corollary 13.3.8).

**DEFINITION 13.3.2.** Let  $\mathcal{M}$  be a right proper model category and let  $E$  be an arbitrary but fixed functorial factorization of every map  $g: X \rightarrow Y$  into  $X \xrightarrow{i_g} E(g) \xrightarrow{p_g} Y$ , where  $i_g$  is a trivial cofibration and  $p_g$  is a fibration. The *homotopy pullback* of the diagram  $X \xrightarrow{g} Z \xleftarrow{h} Y$  is defined to be the pullback of the diagram  $E(g) \xrightarrow{p_g} Z \xleftarrow{p_h} E(h)$ .

LEMMA 13.3.3. *Let  $\mathcal{M}$  be a right proper model category. If  $g: X \rightarrow Y$  is a weak equivalence and  $h: W \rightarrow Z$  is a fibration, then, for any map  $k: Y \rightarrow Z$ , the natural map from the pullback of the diagram  $X \xrightarrow{kg} Z \xleftarrow{h} W$  to the pullback of the diagram  $Y \xrightarrow{k} Z \xleftarrow{h} W$  is a weak equivalence.*

PROOF. We have the commutative diagram

$$\begin{array}{ccccc}
 X \times_Z W & \longrightarrow & Y \times_Z W & \longrightarrow & W \\
 \downarrow & & \downarrow & & \downarrow h \\
 X & \xrightarrow{g} & Y & \xrightarrow{k} & Z
 \end{array}$$

in which the vertical maps are all fibrations. Since  $g$  is a weak equivalence, the result follows from Proposition 7.2.14.  $\square$

# Гомотопійна інваріантність гомотопійного відтягування

PROPOSITION 13.3.4 (Homotopy invariance of the homotopy pullback). *Let  $\mathcal{M}$  be a right proper model category. If we have the diagram*

$$\begin{array}{ccccc} X & \xrightarrow{g} & Z & \xleftarrow{h} & Y \\ \downarrow & & \downarrow & & \downarrow \\ \tilde{X} & \xrightarrow{\tilde{g}} & \tilde{Z} & \xleftarrow{\tilde{h}} & \tilde{Y} \end{array}$$

*in which the vertical maps are weak equivalences, then the induced map of homotopy pullbacks*

$$E(g) \times_Z E(h) \rightarrow E(\tilde{g}) \times_{\tilde{Z}} E(\tilde{h})$$

*is a weak equivalence.*

PROOF. It is sufficient to show that if  $g$ ,  $h$ ,  $\tilde{g}$ , and  $\tilde{h}$  are fibrations, then the map of pullbacks  $X \times_Z Y \rightarrow \tilde{X} \times_{\tilde{Z}} \tilde{Y}$  is a weak equivalence. This map equals the composition

$$X \times_Z Y \rightarrow (\tilde{X} \times_{\tilde{Z}} Z) \times_Z Y \approx \tilde{X} \times_{\tilde{Z}} Y \rightarrow \tilde{X} \times_{\tilde{Z}} \tilde{Y}.$$

Since  $\mathcal{M}$  is a right proper model category, the map  $X \rightarrow \tilde{X} \times_{\tilde{Z}} Z$  is a weak equivalence, and Lemma 13.3.3 implies that the last map in the composition is a weak equivalence.  $\square$

## Три формули для гомотопійного відтягування

PROPOSITION 13.3.7. *Let  $\mathcal{M}$  be a right proper model category. If  $X \xrightarrow{j_g} W_g \xrightarrow{q_g} Z$  and  $Y \xrightarrow{j_h} W_h \xrightarrow{q_h} Z$  are factorizations of, respectively,  $g: X \rightarrow Z$  and  $h: Y \rightarrow Z$ ,  $j_g$  and  $j_h$  are weak equivalences, and  $q_g$  and  $q_h$  are fibrations, then the homotopy pullback of the diagram  $X \xrightarrow{g} Z \xleftarrow{h} Y$  is naturally weakly equivalent to each of  $W_g \times_Z W_h$ ,  $W_g \times_Z Y$ , and  $X \times_Z W_h$ .*

PROOF. If  $E$  is the natural factorization used in Definition 13.3.2, then Lemma 13.3.3 implies that the homotopy pullback  $E(g) \times_Z E(h)$  is naturally weakly equivalent to both  $E(g) \times_Z Y$  and  $X \times_Z E(h)$ . Lemma 13.3.3 implies that these are naturally weakly equivalent to  $E(g) \times_Z W_h$  and  $W_g \times_Z E(h)$  respectively, and that these are naturally weakly equivalent to  $X \times_Z W_h$  and  $W_g \times_Z Y$ , respectively. Lemma 13.3.3 implies that both of these are naturally weakly equivalent to  $W_g \times_Z W_h$ . □

COROLLARY 13.3.8. *Let  $\mathcal{M}$  be a right proper model category. If at least one of the maps  $g: X \rightarrow Z$  and  $h: Y \rightarrow Z$  is a fibration, then the pullback  $X \times_Z Y$  is naturally weakly equivalent to the homotopy pullback of the diagram  $X \xrightarrow{g} Z \xleftarrow{h} Y$ .*

PROPOSITION 13.3.9. *Let  $\mathcal{M}$  be a right proper model category. If the vertical maps in the diagram*

$$\begin{array}{ccccc} X & \longrightarrow & Z & \longleftarrow & Y \\ \downarrow & & \downarrow & & \downarrow \\ \tilde{X} & \longrightarrow & \tilde{Z} & \longleftarrow & \tilde{Y} \end{array}$$

*are weak equivalences and at least one map in each row is a fibration, then the map of pullbacks  $X \times_Z Y \rightarrow \tilde{X} \times_{\tilde{Z}} \tilde{Y}$  is a weak equivalence.*

Let us recall that the pullback of a diagram

$$\mathcal{C}_1 \xrightarrow{F_1} \mathcal{D} \xleftarrow{F_2} \mathcal{C}_2$$

in  $\mathbf{dgCat}$  is given by a dg category  $\mathcal{C}_1 \times_{\mathcal{D}} \mathcal{C}_2$  defined in the obvious way. This notion of pullback does not behave well with respect to quasi-equivalences.

## Модельна структура на dg категоріях власна справа

To overcome this issue, one has to note that, by the work of Tabuada,  $\mathbf{dgCat}$  has a model category structure whose weak equivalences are the quasi-equivalences.

Here we content ourselves with some remarks about the special case of  $\mathbf{dgCat}$ . In particular, in Tabuada's model structure all dg categories are fibrant but not all of them are cofibrant.

Furthermore, such a model structure is right proper, i.e. every pullback of a weak equivalence along a fibration is a weak equivalence, thanks to the fact that all objects are fibrant.

Finally,  $\mathbf{Hqe}$  can be reinterpreted as the homotopy category of  $\mathbf{dgCat}$  with respect to such a model structure.

## Гомотопійне відтягування dg категорій

One can consider the homotopy pullback  $\mathcal{C}_1 \times_{\mathcal{D}}^h \mathcal{C}_2$ . By definition  $\mathcal{C}_1 \times_{\mathcal{D}}^h \mathcal{C}_2 := \mathcal{C}'_1 \times_{\mathcal{D}} \mathcal{C}'_2$  is the usual pullback of a diagram

$$\mathcal{C}'_1 \xrightarrow{F'_1} \mathcal{D} \xleftarrow{F'_2} \mathcal{C}'_2, \quad (1)$$

where at least one among  $F'_1$  and  $F'_2$  is a fibration and (for  $i = 1, 2$ )  $F_i = F'_i \circ I_i$  with  $I_i: \mathcal{C}_i \rightarrow \mathcal{C}'_i$  a quasi-equivalence.

Notice that such a factorization of  $F_i$  always exists, and in fact one could choose  $I_i$  to be a cofibration as well. The homotopy pullback does not depend, up to isomorphism in  $\mathbf{Hqe}$ , on the choice of the diagram (1).

Let us spell out an explicit description of  $\mathcal{C}_1 \times_{\mathcal{D}}^h \mathcal{C}_2$ . We can take  $F'_2 = F_2$  and factor only  $F_1$  as follows. Define  $\mathcal{C}'_1$  to be the dg category whose objects are triples,  $(C_1, D, f)$  where  $C_1 \in \mathbf{Ob}(\mathcal{C}_1)$ ,  $D \in \mathbf{Ob}(\mathcal{D})$  and  $f: F_1(C_1) \rightarrow D$  is a strong homotopy equivalence.



## Definition (Kontsevich's category again)

Objects  $D$  and  $D'$  of a dg-category  $\mathcal{D}$  are strongly homotopy equivalent = strongly homotopy isomorphic if there are morphisms of  $\mathcal{D}$

$$\begin{array}{ccc} D & \xrightarrow[0]{f} & D' \\ \alpha \circlearrowleft_{-1} D & \xleftarrow[0]{g} & D' \circlearrowright_{-1} \beta \\ D & \xrightarrow[-2]{\delta} & D' \end{array}$$

such that

$$\begin{aligned} g \circ f &= 1_D - d\alpha, \\ f \circ g &= 1_{D'} - d\beta, \\ f \circ \alpha - \beta \circ f &= d\delta. \end{aligned}$$

Morphisms  $f$  and  $g$  are called strong homotopy equivalences = strong homotopy isomorphisms, homotopy inverse to each other.

## Exercise

Strong homotopy equivalence is an equivalence relation.

In particular, the composition of strong homotopy equivalences is a strong homotopy equivalence.

A morphism of degree  $n$  from  $(C_1, D, f)$  to  $(C'_1, D', f')$  in  $\mathcal{C}'_1$  is given by a triple  $(a_1, b, h)$  with  $a_1 \in \mathbf{Hom}_{\mathcal{C}_1}(C_1, C'_1)^n$ ,  $b \in \mathbf{Hom}_{\mathcal{D}}(D, D')^n$  and  $h \in \mathbf{Hom}_{\mathcal{D}}(F_1(C_1), D')^{n-1}$ .

The differential is defined by

$$d(a_1, b, h) := (d(a_1), d(b), d(h) + (-1)^n(f' \circ F_1(a_1) - b \circ f))$$

and the composition by

$$(a'_1, b', h') \circ (a_1, b, h) := (a'_1 \circ a_1, b' \circ b, b' \circ h + (-1)^n h' \circ F_1(a_1)).$$

The dg functor  $I_1 : \mathcal{C}_1 \rightarrow \mathcal{C}'_1$  is defined by

$I_1(C_1) := (C_1, F_1(C_1), \text{id}_{F_1(C_1)})$  on objects and

$I_1(a_1) := (a_1, F_1(a_1), 0)$  on morphisms. On the other hand, the

dg functor  $F'_1 : \mathcal{C}'_1 \rightarrow \mathcal{D}$  is defined as projection on the second component both on objects and on morphisms. It is not difficult to check that  $I_1$  is a quasi-equivalence and  $F'_1$  is a fibration.

## Гомотопійна оборотність на морфізмах

Identity morphism of  $(C_1, D, f) \in \mathbf{Ob} \mathcal{C}'_1$  is  $(1_{C_1}, 1_D, 0)$ .

$I_1$  is a homotopy invertible on morphisms:

$$\begin{aligned} I_1 : \mathcal{C}_1(X, Y) &\rightarrow \mathcal{C}'_1((X, F_1 X, 1_{F_1 X}), (Y, F_1 Y, 1_{F_1 Y})) \\ &= \mathcal{C}_1(X, Y) \oplus \mathcal{D}(F_1 X, F_1 Y) \oplus \mathcal{D}(F_1 X, F_1 Y)[-1], \quad a \mapsto (a, F_1 a, 0) \end{aligned}$$

has a homotopy inverse  $\mathbf{pr}_1$ .

In fact,  $\mathbf{pr}_1(I_1 a) = a$ , and  $I_1(\mathbf{pr}_1(a, b, h)) = (a, F_1 a, 0)$ ,  
 $(\mathbf{id} - I_1 \circ \mathbf{pr}_1)(a, b, h) = (0, b - F_1 a, h)$  coincides with  
 $(dz + zd)(a, b, h)$ , the homotopy is

$$\begin{aligned} z : \mathcal{C}_1(X, Y)^n \oplus \mathcal{D}(F_1 X, F_1 Y)^n \oplus \mathcal{D}(F_1 X, F_1 Y)^{n-1} \\ \rightarrow \mathcal{C}_1(X, Y)^{n-1} \oplus \mathcal{D}(F_1 X, F_1 Y)^{n-1} \oplus \mathcal{D}(F_1 X, F_1 Y)^{n-2}, \\ (a, b, h) \mapsto (0, (-1)^n h, 0). \end{aligned}$$

## Деякі сильні гомотопійні еквівалентності

For any object  $(C, D, f : F_1 C \rightarrow D)$  of  $\mathcal{C}'_1$  there exists a strong homotopy equivalence  $\tilde{f} : (C, F_1 C, 1_{F_1 C}) \rightarrow (C, D, f) \in \mathcal{C}'_1$ . It is given by  $\tilde{f} = (1_C, f, 0) \in \mathcal{C}_1(C, C)^0 \oplus \mathcal{D}(F_1 C, D)^0 \oplus \mathcal{D}(F_1 C, D)^{-1}$ , accompanied with  $\tilde{g} : (C, D, f) \rightarrow (C, F_1 C, 1_{F_1 C}) \in \mathcal{C}'_1$ ,  
 $\tilde{g} = (1_C, g, -\alpha) \in \mathcal{C}_1(C, C)^0 \oplus \mathcal{D}(D, F_1 C)^0 \oplus \mathcal{D}(F_1 C, F_1 C)^{-1}$ ,  
 $\tilde{\alpha} = (0, \alpha, 0) \in \mathcal{C}_1(C, C)^{-1} \oplus \mathcal{D}(F_1 C, F_1 C)^{-1} \oplus \mathcal{D}(F_1 C, F_1 C)^{-2}$ ,  
 $\tilde{\beta} = (0, \beta, \delta) \in \mathcal{C}_1(C, C)^{-1} \oplus \mathcal{D}(D, D)^{-1} \oplus \mathcal{D}(F_1 C, D)^{-2}$ ,  
 $\tilde{\delta} = (0, \delta, 0) \in \mathcal{C}_1(C, C)^{-2} \oplus \mathcal{D}(F_1 C, D)^{-2} \oplus \mathcal{D}(F_1 C, D)^{-3}$ ,  
satisfying

$$\tilde{g} \circ \tilde{f} = 1 - d\tilde{\alpha},$$

$$\tilde{f} \circ \tilde{g} = 1 - d\tilde{\beta},$$

$$\tilde{f} \circ \tilde{\alpha} - \tilde{\beta} \circ \tilde{f} = d\tilde{\delta}.$$

# Гомотопійні еквів-сті в передтриангульованій dg-кат.

Lemma (likely known)

Let  $\mathcal{D}$  be a pretriangulated **dg**-category. Let  $f : M \rightarrow N \in Z^0\mathcal{D}$ . Then  $f$  is homotopy invertible iff  $\mathbf{Cone}f$  is contractible iff  $f$  is strongly homotopy invertible.

**Доведення.** Assume that  $f : M \rightarrow N \in Z^0\mathcal{D}$  is homotopy invertible. The category  $H^0\mathcal{D}$  is triangulated. The square

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ f \downarrow & = & \downarrow 1 \\ N & \xrightarrow{1} & N \end{array}$$

extends to a morphism of distinguished triangles

$$\begin{array}{ccccccc} M & \xrightarrow{f} & N & \longrightarrow & \mathbf{Cone}f & \longrightarrow & M[1] \\ f \downarrow & & \downarrow 1 & & \downarrow 0 & & \downarrow f[1] \\ N & \xrightarrow{1} & N & \longrightarrow & 0 & \longrightarrow & N[1] \end{array}$$

by property [TR3] of triangulated category  $H^0\mathcal{D}$ .

The morphism  $0 : \mathbf{Conef} \rightarrow 0$  is invertible in  $H^0\mathcal{D}$ , that is,  $C = \mathbf{Conef}$  is contractible ( $1_C = dh$  for some  $h \in \mathcal{D}(C, C)^{-1}$ ).

$C$  is accompanied by morphisms  $\sigma \in \mathcal{D}(M, M[1])^{-1}$ ,  $d\sigma = 0$ ,  $\sigma^{-1} \in \mathcal{D}(M[1], M)^1$ ;  $M[1] \xrightarrow{i} C \xrightarrow{p} M[1]$ ,  $N \xrightarrow{j} C \xrightarrow{s} N$  of degree 0; such that  $p \circ i = 1$ ,  $s \circ j = 1$ ,  $s \circ i = 0$ ,  $p \circ j = 0$ ,  $i \circ p + j \circ s = 1$ ,  $dp = 0$ ,  $dj = 0$ ,  $di = j \circ f \circ \sigma^{-1}$ ,  $ds = -f \circ \sigma^{-1} \circ p$ .

Clearly,  $h$  can be recovered from morphisms

$$g = \sigma^{-1} \circ p \circ h \circ j \in \mathcal{D}(N, M)^0,$$

$$\alpha = -\sigma^{-1} \circ p \circ h \circ i \circ \sigma \in \mathcal{D}(M, M)^{-1}, \beta = s \circ h \circ j \in \mathcal{D}(N, N)^{-1},$$

$$\delta = s \circ h \circ i \circ \sigma \in \mathcal{D}(M, N)^{-2} \text{ as}$$

$$h = i \circ \sigma \circ g \circ s - i \circ \sigma \circ \alpha \circ \sigma^{-1} \circ p + j \circ \beta \circ s + j \circ \delta \circ \sigma^{-1} \circ p.$$

The equation  $dh = 1_C$  can be written as the system

$$dg = 0,$$

$$d\alpha = 1_M - g \circ f,$$

$$d\beta = 1_N - f \circ g,$$

$$d\delta = f \circ \alpha - \beta \circ f.$$

The first equation says that  $g \in Z^0 \mathcal{D}(N, M)$ . The second and the third say that  $g$  is homotopy inverse to  $f$ .

The fourth equation says that we deal with representation of Kontsevich's category, that is,  $M$  and  $N$  are strongly homotopy equivalent. □

peut montrer aisément que pour la structure obtenue, tout objet est fibrant et qu'un dg-foncteur  $F$  de  $\mathcal{C}$  vers  $\mathcal{D}$  est une fibration si et seulement si :

- pour tous objets  $c_1$  et  $c_2$  dans  $\mathcal{C}$ , le morphisme de complexes de  $\text{Hom}_{\mathcal{C}}(c_1, c_2)$  vers  $\text{Hom}_{\mathcal{D}}(F(c_1), F(c_2))$  est surjectif en chaque composante et
- pour tout objet  $c_1$  dans  $\mathcal{C}$  et tout isomorphisme  $v$  de  $F(c_1)$  vers  $d$  dans  $H^0(\mathcal{D})$ , il existe un isomorphisme  $u$  de  $c_1$  vers  $c_2$  dans  $H^0(\mathcal{C})$  tel que  $F(u) = v$ .

$F'_1 : (a, b, h) \mapsto b$  is surjective on morphisms.

### Lemma

Let  $\mathcal{D}$  be a pretriangulated dg-category. Then  $F'_1 : \mathcal{C}'_1 \rightarrow \mathcal{D}$  is a fibration in Tabuada's model structure.

### Доведення.

An isomorphism  $v : D \rightarrow D' \in H^0 \mathcal{D}$  lifts to a strong homotopy isomorphism  $v : D \rightarrow D' \in \mathcal{D}$ . It is lifted to a morphism  $(1_{\mathcal{C}}, v, 0) : (C, D, f) \rightarrow (C, D', v \circ f) \in \mathcal{C}'_1$  (notice that  $v \circ f$  is a strong homotopy isomorphism) whose second projection is  $v$ . □

## Явний вираз для гомотопійного відтягування dg категорій

Let  $\mathcal{D}$  be a pretriangulated dg-category. With the above choice,  $\mathcal{C}_1 \times_{\mathcal{D}}^h \mathcal{C}_2$  can be identified with the dg category whose objects are triples  $(C_1, C_2, f)$ , where  $C_i \in \mathbf{Ob}(\mathcal{C}_i)$ , for  $i = 1, 2$ , and  $f: F_1(C_1) \rightarrow F_2(C_2)$  is a strong homotopy equivalence.

A morphism of degree  $n$  from  $(C_1, C_2, f)$  to  $(C'_1, C'_2, f')$  in  $\mathcal{C}_1 \times_{\mathcal{D}}^h \mathcal{C}_2$  is given by a triple  $(a_1, a_2, h)$  with  $a_i \in \mathbf{Hom}_{\mathcal{C}_i}(C_i, C'_i)^n$ , for  $i = 1, 2$ , and  $h \in \mathbf{Hom}_{\mathcal{D}}(F_1(C_1), F_2(C'_2))^{n-1}$ .




The differential is defined by

$$d(a_1, a_2, h) := (d(a_1), d(a_2), d(h) + (-1)^n (f' \circ F_1(a_1) - F_2(a_2) \circ f))$$

and the composition by

$$(a'_1, a'_2, h') \circ (a_1, a_2, h) := (a'_1 \circ a_1, a'_2 \circ a_2, F_2(a'_2) \circ h + (-1)^n h' \circ F_1(a_1)).$$



-  Alberto Canonaco, Amnon Neeman, and Paolo Stellari, Uniqueness of enhancements for derived and geometric categories, 2021, arXiv:2101.04404. §3.3
-  Philip S. Hirschhorn, Model categories and their localizations, Mathematical Surveys and Monographs, vol. 99, American Mathematical Society, Providence, RI, 2003. Chapter 13: §1.1, 1.2, 3.1–3.3, 3.4, 3.7–3.9
-  Gonçalo Tabuada, Une structure de catégorie de modèles de Quillen sur la catégorie des dg-catégories, C. R. Math. Acad. Sci. Paris 340 (2005), no. 1, 15–19, arXiv:math.KT/0407338. Remarque 1