

# Introduction to homotopy theory

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## **Advantage of homotopy classifications:**

- number of objects becomes discrete
- the set of objects often carries an algebraic structure (groups, rings, semigroups, etc)
- applications: almost always discrete (“quantum”) invariants of something are homotopy invariants.

# Homotopy

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$$F_t = F|_{X \times t} : X \times t \rightarrow Y, \quad t \in [0, 1].$$

Then the maps  $F_0$  and  $F_1$  are called **homotopic**, and  $F$  is a **homotopy** between them.

## Homotopic maps

Two continuous maps  $f, g : X \rightarrow Y$  are **homotopic** if there is a homotopy  $F : X \times [0, 1] \rightarrow Y$  between them, i.e.  $f = F_0$  and  $g = F_1$ .

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1) Prove that the relation  $\simeq$  **to be homotopic** on the space  $C(X, Y)$  of continuous maps between topological spaces  $X$  and  $Y$  is an **equivalence** relation.

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- 2) Let  $f, f' : X \rightarrow Y$  and  $g, g' : Y \rightarrow Z$  be continuous maps. If  $f \simeq f'$  and  $g \simeq g'$  then  $g \circ f \simeq g' \circ f' : X \rightarrow Z$ .

## Examples

- The map  $F : \mathbb{R} \times I \rightarrow \mathbb{R}$  given by

$$F(x, t) = t \cos(x) + 2^{t^2 - x^4} + \ln(x^2 + t^2 + 2)$$

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## Contractible spaces

A topological space  $X$  is **contractible** if the identity map  $\text{id}_X$  is homotopic to a constant map into some point.

So there exists a continuous map  $F : X \times [0, 1] \rightarrow X$  such that  $F_0 = \text{id}_X$  and  $F_1(X) = z$  for some point  $z \in X$ .

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### Exercise

Prove that every finite tree is contractible.

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Every contraction of  $X$  factors through the map into cone:

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### Reformulation

$X$  is contractible whenever the base  $X \times 0$  is a retract of  $CX$ .

# Homeomorphism

A continuous map  $f : X \rightarrow Y$  is a **homeomorphism** if there exists a continuous map  $g : Y \rightarrow X$  such that

$$\begin{array}{ccccccc} & & \text{id}_X & & & & \\ & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \\ & & \text{---} & & & & \\ X & \xrightarrow{f} & Y & \xrightarrow{g} & X & \xrightarrow{f} & Y \\ & & & & \text{---} & & \\ & & & & \text{id}_Y & & \end{array}$$



# Homotopy equivalence

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The diagram illustrates the definition of a homotopy equivalence. It shows a sequence of maps:  $X \xrightarrow{f} Y \xrightarrow{g} X \xrightarrow{f} Y$ . A curved arrow from  $X$  to  $X$  is labeled "homotopic to  $\text{id}_X$ ", representing the composition  $g \circ f$ . Another curved arrow from  $Y$  to  $Y$  is labeled "homotopic to  $\text{id}_Y$ ", representing the composition  $f \circ g$ .

## Exercises

1) Homotopy equivalence is an equivalence relation.

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- 1) Homotopy equivalence is an equivalence relation.
- 2) A map homotopic to a homotopy equivalence is a homotopy equivalence as well.

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## Exercises

- 1) Homotopy equivalence is an equivalence relation.
- 2) A map homotopic to a homotopy equivalence is a homotopy equivalence as well.
- 3) The set of self-homotopy equivalences  $HE(X) \subset [X, X]$  of a topological space  $X$  constitute a subgroup in the semigroup  $[X, X]$ .

## Homotopy equivalence, 2

### Theorem

Let  $F : X \rightarrow Y$  be a continuous map. Then the following conditions are equivalent:

- (1) there exists a continuous map  $G : Y \rightarrow X$  such that  $G \circ F : X \rightarrow X$  is homotopic to  $\text{id}_X$  ( $G$  is “right” homotopy inverse for  $F$ );
- (2) for any topological space  $Z$  the induced map

$$F^* : [Y, Z] \rightarrow [X, Z], \quad F^*(g) = g \circ F : X \xrightarrow{F} Y \xrightarrow{g} Z$$

is a bijection.

Similarly, the following conditions are also equivalent:

- (1') there exists a continuous map  $G' : Y \rightarrow X$  such that  $F \circ G' : Y \rightarrow Y$  is homotopic to  $\text{id}_Y$  ( $G'$  is a “left” homotopy inverse for  $F$ );
- (2') for any topological space  $Z$  the induced map

$$F_* : [Z, X] \rightarrow [Z, Y], \quad F_*(h) = F \circ h : Z \xrightarrow{h} X \xrightarrow{F} Y$$

is a bijection.

If both conditions (1) and (1') hold then  $G$  and  $G'$  are homotopic.

In particular,  $F : X \rightarrow Y$  is a **homotopy equivalence** iff  $F^*$  and  $F_*$  are bijections for all spaces  $Z$ .

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- iv  $X$  is homotopy equivalent to a point

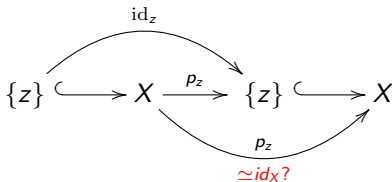
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**Proof (i)  $\Leftrightarrow$  (iv):** Let  $p_z : X \rightarrow \{z\}$  be a constant map.



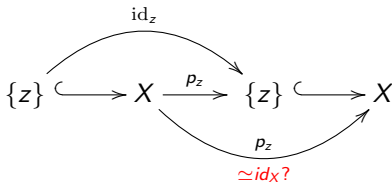
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**Proof (i)  $\Leftrightarrow$  (iv):** Let  $p_z : X \rightarrow \{z\}$  be a constant map.



(i) means that  $p_z \simeq \text{id}_X$  and this is the same as (iv).

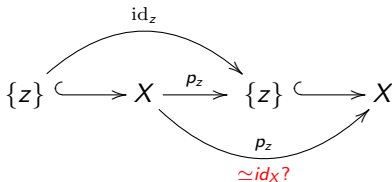
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## Deformations

Let  $A \subset X$  be a subset. A **deformation of  $X$  into  $A$**  is a homotopy  $F : X \times I \rightarrow X$  such that

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$$\begin{array}{ccccc} & & F_1|_A \simeq F_0|_A = \text{id}_A & & \\ & \curvearrowright & & \curvearrowleft & \\ A & \hookrightarrow & X & \xrightarrow{F_1} & A & \hookrightarrow & X \\ & & & \curvearrowright & & \curvearrowleft & \\ & & & \simeq F_0 = \text{id}_X & & & \end{array}$$

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Note that  $F|_{A \times I} : A \times I \rightarrow A$  is a homotopy between  $F_0|_A = \text{id}_A$  and  $F_1|_A$ .



## Deformation retracts

Let  $A \subset X$  be a subset. A **deformation retraction of  $X$  on  $A$**  is a homotopy  $F : X \times I \rightarrow X$  such that

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$$\begin{array}{ccccccc} & & & & F_1|_A = F_0|_A = \text{id}_A & & \\ & & & & \curvearrowright & & \\ A & \hookrightarrow & X & \xrightarrow{F_1} & A & \hookrightarrow & X \\ & & & & \curvearrowleft & & \\ & & & & \simeq F_0 = \text{id}_X & & \end{array}$$

## Simple homotopy equivalence

Let  $X$  be a topological space,  $D^n$  be an  $n$ -disk,  $n \geq 1$ ,  $S_+^{n-1}$  and  $S_-^{n-1}$  be two semispheres in the  $\partial D^n = S^{n-1}$ .

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### Theorem (Chapman)

*Let  $X$  and  $Y$  be two finite connected CW-complexes (polyhedrons). Then a map  $f : X \rightarrow Y$  is a simple homotopy equivalence iff the map  $f \times \text{id} : X \times Q \rightarrow Y \times Q$  is homotopic to a homeomorphism, where  $Q = \prod_{i=1}^{\infty} [0, 1]$  is a Hilbert cube.*

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**Exercise.** Let  $G$  be a topological semigroup. Prove that

- 1 Partition of  $G$  into path components  $\xi = \{G_\alpha\}_{\alpha \in A}$  is a congruence, that is if  $a, a' \in G_\alpha$  and  $b, b' \in G_\beta$ , then  $ab$  and  $a'b'$  belong to the same path component of  $G$ .
- 2 Hence the quotient set  $G/\xi$  which can be identified with  $\pi_0(G, g)$  for any  $g \in G$  is a semigroup, and the map  $G \rightarrow \pi_0(G, g)$  is a semigroup homomorphism.

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- 1 Every continuous map  $f : X \rightarrow Y$  induces a map  $f_0 : \pi_0(X, x) \rightarrow \pi_0(Y, f(x))$  defined by  $f_0[\alpha] = [f \circ \alpha]$ , where  $\alpha : (S^0, 0) \rightarrow (X, x)$ .

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Then the operations in  $\pi_1(X, x)$  is defined by  $[\alpha] * [\beta] = [\alpha * \beta]$ .

**Exercise.** 1) Prove that homotopy class of the constant map  $I$  into  $x$  is the unit of  $\pi_1(X, x)$ .

2) Prove that inverse to  $[\alpha]$  is the homotopy class of the map  $\beta : I \rightarrow X$  given by  $\beta(t) = \alpha(1 - t)$ .



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Every CW-complex  $X$  is weakly homotopy equivalent to some Alexandrov space (a space in which intersection of arbitrary family of open sets is open).

## Weak homotopy type

A continuous map  $f : X \rightarrow Y$  is a **weak homotopy equivalence** if for each  $x \in X$  and  $k \geq 0$  the induced map

$$f_k : \pi_k(X, x) \rightarrow \pi_k(Y, f(x))$$

is an isomorphism. As noted above every homotopy equivalence is also weak.

### Theorem (J.H.C. Whitehead)

Let  $X$  and  $Y$  be connected CW-complexes and  $f : X \rightarrow Y$  be a continuous map. TFAE:

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**Proof.** In fact the operation is defined by the same formula as for  $\pi_k(X, x)$ . □



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- 3 Let  $G$  be a topological group with unit  $e$  and  $H$  be its subgroup. Then  $\pi_1(G, H, e)$  has a structure of a group. Moreover, the maps

$$\pi_1(G, e) \xrightarrow{j_1} \pi_1(G, H, e) \xrightarrow{\partial_1} \pi_0(H, e)$$

are group homomorphisms.

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$$\partial_k : \pi_k(X, A, x) \rightarrow \pi_{k-1}(A, x)$$

## Long exact sequence of homotopy groups

Thus we get an infinite sequence of homomorphisms:

$$\begin{aligned} \dots &\xrightarrow{\partial_{k+1}} \pi_k(A, x) \xrightarrow{i_k} \pi_k(X, x) \xrightarrow{j_k} \pi_k(X, A, x) \xrightarrow{\partial_k} \\ &\pi_{k-1}(A, x) \xrightarrow{i_{k-1}} \pi_{k-1}(X, x) \xrightarrow{j_{k-1}} \pi_{k-1}(X, A, x) \xrightarrow{\partial_{k-1}} \dots \\ &\dots \xrightarrow{\partial_2} \pi_1(A, x) \xrightarrow{i_1} \pi_1(X, x) \xrightarrow{j_1} \pi_1(X, A, x) \xrightarrow{\partial_1} \\ &\pi_0(A, x) \xrightarrow{i_0} \pi_0(X, x) \xrightarrow{\cong} \pi_0(X, A, x). \end{aligned}$$

### Theorem

*The long sequence of homotopy groups for the triple  $(X, A, x)$  is exact.*

# Long exact sequence of homotopy groups

- 1 Compute homotopy groups  $\pi_k(I, \partial I, 0)$ .

Homotopy



# Glossary

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Homotopy equivalence

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